



# **INDEPENDENT AUDIT PROGRAM**

## **COFFS HARBOUR HOSPITAL EXPANSION (CHHE)**

AQUAS Pty Limited

20 July 2021 (Rev.3)

## 1. Purpose of the Audit Program

The purpose of this Independent Audit Program (IAP) is to satisfy the Condition SSD 8981 of the planning approval. The requirements of the Independent Audit Program are listed in the table below:

### Conditions applicable to the Independent Audit Program (IAP)

SSD CoA	Requirement
C.42	No later than two months before the date notified for the commencement of construction, an Independent Audit Program prepared in accordance with the Independent Audit Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority.

## 2. Independent Audit Program

The Conditions of Approval C.43 requests that an IAP be developed and submitted to the DPE in accordance with the Independent Audit Post Approval Requirements (Department 2018). These requirements outline the content of the IAP:

1. An Audit Schedule
2. An Audit Table

### 2.1 Audit Schedule

**Please note that the Audit Schedule was revised during July 2021 (Rev.3) as a result of the Covid-19 lockdown in NSW. Therefore, the fourth Independent Environmental Audit planned for the 26 of July 2021 has been postponed to August 2021.** The revised audit program will be followed to monitor the compliance requirements during the construction activities and project completion based on the Development Condition of Consent SSD 8981.

Audit Schedule can be found in **Appendix 1**.

### 2.2 Audit Table

The Independent Audit Table has been prepared for each audit by the Independent Auditor which includes the applicable SSDA requirements for that phase, the evidence collected to determine whether the requirements have been complied and the status of compliance. The Audit Table will be appended to each Independent Audit Report.

The Audit Table for the independent audits can be found in **Appendix 2**.

## 3. Independent Auditors

The Planning Secretary has approved the auditors required to undertake the Audits. The approved auditors will undertake the Independent Audits as stipulated in the Independent Audit Post Approval Requirements (Department 2018).

Auditors will complete a Declaration Form to indicate their independence to the project with each environmental audit and in accordance with the Independent Audit Post Approval Requirements (Department 2018).

Auditors approved by the Department of Planning Industry and Environment are:

Name	Role
Annabelle Tungol	<b>Lead Environmental Auditor</b> Bachelor of Chemical Engineering Exemplar Global Principal Quality & Lead Environmental Auditor (No. 119536)
Ana Maria Munoz	<b>Environmental Auditor</b> Bachelor of Industrial Engineering and Master Engineering Management. Exemplar Global Principal Safety, Quality and Environmental Auditor (No.115421)

## 4. Independent Environmental Audit

The table below outlines the requirements for the independent environmental audit as noted in the SSD Conditions of Approval C43, C44 and C45.

### Conditions applicable to the Independent Environmental Audit

SSD CoA	Requirement
C.43	Independent Audits of the development must be carried out in accordance with: (a) the Independent Audit Program submitted to the Department and the Certifying Authority under condition C42 of this consent; and (b) the requirements for an Independent Audit Methodology and Independent Audit Report in the Independent Audit Post Approval Requirements (Department 2018)
C.44	In accordance with the specific requirements in the Independent Audit Post Approval Requirements (Department 2018), the Applicant must: (a) review and respond to each Independent Audit Report prepared under condition C42 of this consent; (b) submit the response to the Department and the Certifying Authority; and (c) make each Independent Audit Report and response to it publicly available within 60 days after submission to the Department and notify the Department and the Certifying Authority in writing at least seven days before this is done
C.45	Notwithstanding the requirements of the Independent Audit Post Approval Requirements (Department 2018), the Planning Secretary may approve a request for ongoing annual operational audits to cease, where it has been demonstrated to the Planning Secretary's satisfaction that ongoing operational audits are no longer required.

## Appendix 1 – Audit Schedule (Rev.2)

Item No.	Phase	Audit Component		Scope of Audit	Initial Audit												Subsequent Audit				
		Component 1 Consent Condition	Component 2 Environmental Site		2019						2020						Mar-20	Apr-20	May-20	Jun-20	
		SSD Part No.	Area to Audit		Jun-19	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20					Jun-20
1	Prior to Construction	Part A		All requirements as per SSD Conditions under this Part				13/09/19									*	→	19/06/20		
2	Prior to Construction	Part B		All requirements as per SSD Conditions under this Part				13/09/19									*	→	19/06/20		
3	During Construction	Part C		All requirements as per SSD Conditions under this Part				13/09/19									√	→	19/06/20		
4	During Construction	Appendix 1		Incident Notification and Reporting requirements				13/09/19									√	→	19/06/20		
5	During Construction	Part C	CEMP and sub plans Environmental Site Inspection	CEMP and sub plans Compliance Environmental Site Compliance				13/09/19									√	→	19/06/20		
6	Prior to occupation	Part D		All requirements as per SSD Conditions under this Part																	

\* All 'Prior to Construction' requirements to be closed-out

Planned

Postponed

Actual

Item No.	Phase	Audit Component		Scope of Audit	Subsequent Audit						Prior to Occupation Audit												
		Component 1 Consent Condition	Component 2 Environmental Site		Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21					
		SSD Part No.	Area to Audit		2021																		
1	Prior to Construction	Part A		All requirements as per SSD Conditions under this Part																			
2	Prior to Construction	Part B		All requirements as per SSD Conditions under this Part																			
3	During Construction	Part C		All requirements as per SSD Conditions under this Part			√	→			28/01/21												
4	During Construction	Appendix 1		Incident Notification and Reporting requirements			√	→			28/01/21		√	→			√						
5	During Construction	Part C	CEMP and sub plans Environmental Site Inspection	CEMP and sub plans Compliance Environmental Site Compliance			√	→			28/01/21		√	→			√						
6	Prior to occupation	Part D		All requirements as per SSD Conditions under this Part									√	→			√						
* All 'Prior to Construction' requirements to be closed-out																							
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